

Pledge regarding the Foreign Exchange and Foreign Trade Act

To: President of Tokyo University of Agriculture and Technology (TUAT)

Date: _____

Name _____

1 In connection with my admission/recruitment to TUAT, I would like to declare the following regarding the Specific Categories.

(Check the applicable item)

- I fall under category ① below.
- I fall under category ② below.
- I fall under categories ① and ② below.
- I DO NOT fall under any of the categories below and no confirmation is required.

① A Person who has entered into an employment contract, a delegation contract, a service contract, or any other contract with a corporation or any other organization established under foreign laws and regulations (hereinafter referred to as a "Foreign Corporation"), or a foreign government, a foreign governmental agency, a foreign local government, a foreign central bank, a foreign political party or any other political organization (hereinafter referred to as a "Foreign Government") and is subject to the directions and orders of the Foreign Corporation or the Foreign Government, or owes the duty of care of a good manager to the Foreign Corporation or the Foreign Government, according to the contract, except for either of the following cases.

(a) The case where the Person has entered into an employment contract, a delegation contract, a service contract, or any other contract with a Japanese corporation and is subject to the directions and orders of the Japanese corporation or owes the duty of care of a good manager to the Japanese corporation, according to the contract, and the Japanese corporation or the Person has agreed with the Foreign Corporation or the Foreign Government that the directions and orders of the Japanese corporation or the duty of care of a good manager to the Japanese corporation shall prevail over the directions and orders of the Foreign Corporation or the Foreign Government, or the duty of care of a good manager to the Foreign Corporation or the Foreign Government.

(b) The case where the Person has entered into an employment contract, a delegation contract, a

service contract, or any other contract with a Japanese corporation and is subject to the directions and orders of the Japanese corporation or owes the duty of care of a good manager to the Japanese corporation, according to the contract, and the Person has entered into an employment contract, a delegation contract, a service contract or any other contract with a Group Foreign Corporation (a Foreign Corporation that directly or indirectly holds 50% or more of the voting rights of the Japanese corporation, or a Foreign Corporation of which 50% or more of the voting rights are held by the Japanese corporation; the same shall apply hereinafter) and is subject to the directions and orders of the Group Foreign Corporation or owes the duty of care of a good manager to the Group Foreign Corporation, according to the contract.

- ② A Person who earns or agrees to earn a large amount of money or other significant profit (money or other profit that accounts for 25% or more of the Person's annual income when converted into monetary terms) from a Foreign Government.

2 I hereby pledge to comply with the following items during my attendance/employment at TUAT. During my attendance/employment at TUAT, I will not provide, without permission, any property belonging to the University to foreign governments, foreign entities/individuals, and any person who may be influenced by or subject to the orders of non-residents of Japan, including such persons residing in Japan. . In any of the following cases, I will consult my supervisor and, if necessary, follow the procedures set forth by the Foreign Exchange and Foreign Trade Act, related laws and regulations, and the internal regulations of the University.

- (a) The case where I intend to provide technical information related to research to a foreign country or to a non-resident or a resident under significant influence of a non-resident (i.e., a person falling under any of the "Specific Categories") during my attendance/employment at TUAT, or the case where it becomes clear during my attendance/employment at TUAT that I will provide such information after graduating from /or leaving TUAT.
- (b) The case where I intend to export (send, take abroad, etc.) research equipment, materials used in research, or tangible objects obtained through research during my attendance/employment at TUAT, or the case where it becomes clear during my attendance/employment at TUAT that I will export these items after graduating from or/leaving TUAT.

3 I will not use technical information obtained through research for the development, production, use, or storage of weapons of mass destruction (WMD) (nuclear weapons, chemical weapons,

biological weapons, WMD delivery systems such as missiles, and unmanned aerial vehicles), conventional weapons, or materials, components, or products used in these weapons. I will use such technical information only for civil purposes.

4 If necessary after graduating from/or leaving TUAT, I will carry out the procedures set forth in accordance with the Foreign Exchange and Foreign Trade Act established by the Government of Japan, related laws and regulations based thereon, and the internal regulations of the University. In addition, I will not use research-related technical information provided by TUAT for the development, production, use, or storage of weapons of mass destruction (WMD) (nuclear weapons, chemical weapons, biological weapons, WMD delivery systems such as missiles, and unmanned aerial vehicles), conventional weapons, or materials, components, or products used in these weapons. I will use such technical information only for civil purposes.

Supplementary Explanation

➤ Foreign Exchange and Foreign Trade Act (FEFTA)

A law that regulates the management and coordination of foreign transactions such as foreign exchange and foreign trade for the purpose of the normal development of foreign transactions and maintenance of peace and security in Japan and the international community. When exporting technologies and cargo regulated by the FEFTA to non-residents and persons falling under Specific Categories, it is necessary to apply for a permit to the Minister of Economy, Trade and Industry based on the law.

➤ Specific Categories

A state in which a resident who is a recipient of technology is significantly influenced by a non-resident (specific country) is referred to as "specific categories". There are the following three types of specific categories and they apply regardless of the nationality of the person concerned; in other words, even a Japanese national can fall under the specific categories.

